

2152

PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/696,893
Filing Date	October 26, 2000
First Named Inventor	Fred Cohen
Group Art Unit	2152
Examiner Name	Unassigned
Attorney Docket Number	511-000110US

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Response
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Small Entity Statement
- ☐ Request for Refund

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Additional Enclosure(s) (please identify below):

PTO Form 1449; Cited References (18); receipt acknowledgment postcard

Authorization to Charge Deposit Account

Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.

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Firm or Individual name

Stephen J. LeBlanc, Reg. No. 36,579, Quine Intellectual Property Law Group, P.C.

Signature

Stephen J. LeBlanc

Date

6 Feb 2004

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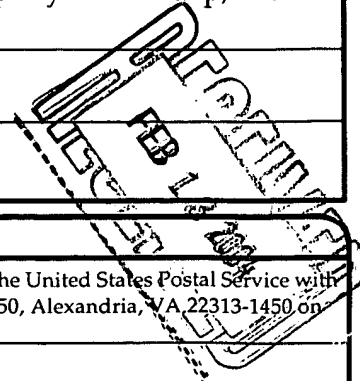
Amelia Weintraub

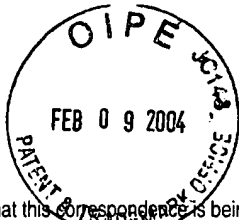
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QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.

By

Amelia Weintraub
Amelia Weintraub

Attorney Docket No. 511-000110US
Client Ref. No.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Fred Cohen, et al.

Application No.: 09/696,893

Filed: October 26, 2000

For: METHOD AND APPARATUS FOR
NETWORK
DECEPTION/EMULATION

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Examiner: Unassigned

Technology Center 2100

Art Unit: 2152

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR § 1.97 and
§ 1.98

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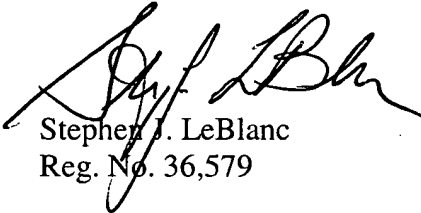
Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

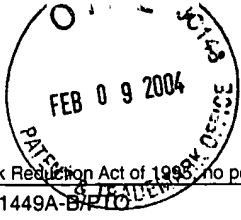
Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action on the merits per 37 CFR 1.97(b)(3). However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-0893. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Stephen J. LeBlanc
Reg. No. 36,579

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Substitute for form 1449A-B770

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

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Complete if Known

Application Number	09/696,893
Filing Date	October 26, 2000
First Named Inventor	Fred Cohen
Group Art Unit	2152
Examiner Name	Unassigned
Attorney Docket Number	511-000110US
Date Submitted	February 6, 2004

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, lines, Where Relevant Passages or Relevant Figures Appear
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FOREIGN PATENT DOCUMENTS

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		Office	Number	Kind Code (if known)				

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	01	BELLOVIN (1992) "There Be Dragons." Proceedings of the Third Usenix UNIX Security Symposium. Baltimore (September 1992). World Wide Web at http://www.research.att.com/~smb/papers/dragon.pdf	
	02	CHESWICK et al. (1991) "An Evening with Berferd: In Which a Cracker is Lured, Endured, and Studied". World Wide Web at http://www.deter.com/unix/papers/berferd_cheswick.pdf	
	03	CISCO SYSTEMS Inc. (1999) "Cisco IOS Network Address Translation (NAT)" World Wide Web at http://www.ieng.com/warp/public/701/60.html . Pages 1-9 of 9.	
	04	COHEN (1992) "Operating System Protection Through Program Evolution Computers and Security". World Wide Web at http://all.net/books/IP/evolve.html . Pages 1-22 of 22.	
	05	COHEN (1996) "A Note on Detecting Tampering with Audit Trails IFIP-TC11" Computers and Security. World Wide Web at http://www.all.net/books/audit/audmod.html . Pages 1-10 of 10.	

Examiner Signature		Date Considered	
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Substitute for form 1449A-B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>	Complete if Known	
	Application Number	09/696,893
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	First Named Inventor	Fred Cohen
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06	COHEN (1996) "A Note On Distributed Coordinated Attacks". World Wide Web at http://www.all.net/books/dca/background.html . Page 1 of 1.	
07	COHEN (1996) "Internet Holes - Incident at All.Net". Network Security Magazine, April, 1996. World Wide Web at http://all.net/journal/netsec/1996-04.html . Page 1 -11 of 11 total.	
08	COHEN (1996) "Internet Holes - Internet Lightning Rods" Network Security Magazine, (July, 1996). World Wide Web at http://all.net/journal/netsec/1996-07-2.html . Pages 1-5 of 5.	
09	COHEN (1996) "Internet Holes - The Human Element" Network Security Magazine, March, 1996. World Wide Web at http://all.net/journal/netsec/1996-03.html . Pages 1-5 of 5.	
10	COHEN (1996) "National Info-Sec Technical Baseline - Intrusion Detection and Response" World Wide Web at http://www.all.net/journal/ntb/ids.html . Pages 1-21 of 21.	
11	COHEN (1996) "Why is thttpd Secure?" Computers and Security. World Wide Web at http://www.all.net/journal/white/whitepaper.html . Pages 1-11 of 11 total.	
12	COHEN (1998) "National InfoSec Technical Baseline - At the Intersection of Security, Networking, and Management" World Wide Web at http://www.all.net/journal/ntb/nsm.html . Pages 1-17 of 17.	
13	ENTERASYS Networks (2000) "Network Address Translation" World Wide Web at http://www.enterasys.com/products/whitepapers/ssr/network-trans/ . Pages 1-6 of 6.	
14	HASENSTEIN (1997) "DIPLOMARBEIT: IP Network Address Translation" World Wide Web at http://www.suse.de/~mha/linux-ip-nat/diplom/ . 32 pages	
15	MICROSOFT Corporation (2000) "Microsoft TechNet: SNA Open Gateway Architecture" World Wide Web at http://www.microsoft.com/TechNet/sna/technote/soga.asp . Pages 1-20	
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17	SIX SIGMA Networks (2000) "Types of Internet Gateways" World Wide Web at http://www.sohointer.net/learn/gateways.htm , Pages 1-15 of 15 total.	
18	VICOM Technology Ltd. (2000) "ViComSoft Knowledge Share Firewall: Q & A". World Wide Web at http://www.vicomsoft.com/knowledge/reference/firewalls1.html . Pages 1-10 of 10.	

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